DOCKET: KT-P-025US

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Evan E. Koslow ) EXAMINER: Krishnan S. Menon

SERIAL NO.: 10/622,882 ) ART UNIT: 1723

FILING DATE: July 18, 2003 ) DATE: July 10, 2007

FOR: CARBON OR ACTIVATED )
CARBON NANOFIBERS

### TERMINAL DISCLAIMER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Disclaimant, KX Industries, LP, having a principal place of business at 269 S. Lambert Road, Orange, Connecticut, in the country of United States, hereby represents that by virtue of assignments from Koslow Technologies Corporation and Evan E. Koslow, copies of which are attached hereto, it is the assignee of the entire interest of application Serial No. 10/622,882, filed July 18, 2003, for Carbon or Activated Carbon Nanofibers.

Disclaimant hereby disclaims the terminal part of any patent granted on the above-dentified application, which would extend beyond the expiration date of the full statutory term of any patent granted on pending U.S. Patent Application No. 10/640,889 filed on August 14, 2003 ("the 10/640,889 application"), and as the term of any patent granted on the 10/640,889 application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the 10/640,889 application. Disclaimant hereby agrees that any patent so granted shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent Application No. 10/640,889, this agreement to run with any patent granted on the above identified application and to be binding upon the grantee of such patent and his (its) successors or assigns.

Disclaimant also hereby disclaims the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of the full statutory term of any patent granted on pending U.S. Patent Application No. 10/666,878 filed on September 19, 2003 ("the 10/666,878 application"), and as the term of any patent granted on the 10/666,878 application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the 10/666,878 application. Disclaimant hereby agrees that any patent so granted shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent Application No. 10/666,878, this agreement to run with any patent granted on the above identified application and to be binding upon the grantee of such patent and his (its) successors or assigns.

Disclaimant does not disclaim any terminal part of the term of any patent granted on the above-identified application that would extend to the expiration date of the full statutory term of any patent granted on U.S. Patent Application Nos. 10/640,889 and 10/666,878, as the term of any patent granted on the 10/640,889 and 10/666,878 applications may be shortened by any terminal disclaimer filed prior to the grant of any patent on said 10/640,889 and 10/666,878 applications in the event that any such patent granted expires for failure to pay a maintenance fee, is held unnerforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321(a), has all claims canceled by a reexamination certificate, is reissued, or is otherwise terminated prior to the expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

By: Attorney of record for KX INDUSTRIES, L.P.

Robert Curcio

Reg. No. 44,638

DELIO & PETERSON, LLC 121 Whitney Avenue New Haven, CT 06510

kxin100022000TermDisclaimer.doc

## Exhibit C - KXI Assignment Agreement

# ASSIGNMENT OF LETTERS PATENT AND APPLICATIONS FOR LETTERS PATENT

THIS ASSIGNMENT OF LETTERS PATENT AND APPLICATIONS FOR LETTERS PATENT (this "Assignment") is made this 22" day of 1020 Mer. 2006 by Evan Koslow and Koslow Technologies Corporation, a Competicut corporation, having its principal place of business at 269 South Lambert Road, Orange, Connecticut 06477 USA ("Seller").

### WITNESSETH:

WHEREAS, Seller is the sole owner by assignment of the entire right, title and interest in, to and under patents and patent applications listed in Schedule A (including all reissues, divisions, continuations, continuations-in-part, extensions and reexaminations thereof, and any patents or patent applications claiming the benefit of priority therefore, directly or infire ctly registered or applied for in the United States and all other nations throughout the world; all rights in all of the foregoing provided by treates, conventions and common law, and all rights to sue or recover and retain damages and costs and attorneys 'fees for past, present and future infingement or misappropriation of any of the foregoing (collectively, the ''Patents''):

WHEREAS, KX Industries, L.P., a Delaware corporation ("Buyer"), having its principal place of business at 269 South Lambert Road, Orange, Connectiout 06477 USA, is desirous of acquiring the entire worldwide right, title and interest in, to and under the Patents.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and pursuant to the Nov. 3, 2006 Final Order and Judgment of Vice Chancellor Strine in McGovern et ano v. Con Holding et al., C.A. No. 1296-N (the "Order"), the parties, intending to be legally bound, agree as follows:

1. Seller has sold, assigned and transferred, and does hereby sell, assign and transfer unto the Buyer, the entire right, title and interest in and to the Patents and all letters patents in all countries of the world based thereon or corresponding thereto, including any and all rights to file applications and receive patents in all countries of the world, the same to be held and enjoyed by the Buyer to the full end of the term for which said letters patent may be granted, as fully and entirely as the same would have been held and enjoyed by Seller had this

assignment and sale not been made; and Seller hereby agrees to sign all necessary papers and do all lawful acts reasonably requisite in connection with the prosecution, assignment, recording, enforcement and disclaimer of each and every patent application based upon the Patents, without further compensation, but at the expense of the Buyer or its successors and assigns, and Seller assigns to the Buyer all rights to sue for infringement, including past infringement if any, of any patent or patents based upon or corresponding to the Patents.

- 3. Seller agrees that, upon request it will, at any time without charging a fee to Buyer but at Buyer's expense for any reasonable costs incurred, furnish all necessary documentation relating to or supporting chain of title and to confirm Buyer's ownership of all right, title, and interest in and to the Patents, provide testimony at any time in connection with any proceedings affecting the right, title, interest or benefit of Buyer in, to or under the Patents and sign and deliver all papers, take all rightful oaths, and do all acts which may be reasonably necessary for vesting title to the Patents in Buyer, its successors, assigns and legal representatives or nominees. In the event Seller fails to execute such documentation after a reasonable amount of time, Seller hereby appoints Buyer with full and complete authority and power of attorney to act in the stead of Seller and to execute and record as its attorney-in-fact such transfer documentation.
- 4. Seller does hereby authorize and request the officials of all countries in which patents relating to the Patents are now or in the future will be issued to issue to the Buyer all of Seller's entire right, title and interest in and to the same for the sole use and enjoyment of the Buyer, its successors and assigns.

IN WITNESS WHEREOF, Seller has caused this Assignment to be executed.  $\,$ 

Evan E. Ko

Individually

Koslow Technologies Corporation

Evan E. Koslow

President

BEFORE ME, the undersigned authority, a Notary Public in and for said County and State, on this day personally appeared Evan Koslow, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that the same was the act of such Corporation, and that he executed the same for and as the act of such Corporation for the purposes and consideration therein expressed and in the capacity therein stated.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this  $\frac{28}{100}$  day of  $\frac{1}{100}$ , 20  $\frac{1}{100}$ 

Name

Notary Public

July 31, 2007

# ZU040E58854V3

Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008) U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

#### RECORDATION FORM COVER SHEET PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 2. Name and address of receiving party(les) 1. Name of conveying party(les) Evan E. Koslow Name: KX Industries, LP 611 Fairfield Beach Road Internal Address: Fairfield, CT 06824 Additional name(s) of conveying party(les) attached? XYes No Street Address: 269 South Lambert Road 3. Nature of conveyance/Execution Date(s): Execution Date(s) November 28, 2005 Merger x Assignment City: Orange Security Agreement Change of Name Joint Research Agreement State: Connecticut Government Interest Assignment Country:\_USA 7in: 06477 Executive Order 9424, Confirmatory License Additional name(s) & address(es) attached? Tes X No This document is being filed together with a new application. 4. Application or patent number(s): A. Patent Application No.(s) B. Patent No.(s) See Applications and Patent Numbers attached as Exhibit A Additional numbers attached? | Yes | No 6. Total number of applications and patents 5. Name and address to whom correspondence concerning document should be mailed: involved: 55 Name: Patterson Belknap Webb & Tyler LLP 7. Total fee (37 CFR 1.21(h) & 3.41) \$2,200.00 Internal Address: Authorized to be charged by credit card Authorized to be charged to deposit account Street Address: 1133 Avenue of the Americas Enclosed None required (government interest not affecting title) 8. Payment Information City: New York a. Credit Card Last 4 Numbers State: New York Zip: 10036 Expiration Date Phone Number: (212) 336-2000 b. Deposit Account Number Fax Number: (212) 336-2222 . Authorized User Name \_\_\_ Email Address: IPDocketing@pbwta.com 9. Signature: November 30, 2006 Signature Michael J. Timmons Total number of pages including cover sheet, attachments, and documents: Name of Person Signing

### EXHIBIT 1

# Additional Names of Conveying Party(ies)

Koslow Technologies Corporation 61! Fairfield Beach Road Fairfield, CT 06824

# Exhibit A - Patents and Patent Applications

	1.	1
Patent Number	Title	Patent Issue Date
	Continuous solid state web	1
5,792,513	coating process	August 11, 1998
	Thin-walled, extruded	1
5,922,803	activated carbon filter	July 13, 1999
	Process and apparatus for	
	the production of activated	
5,946,342	carbon	August 31, 1999
	Liquid absorbent pad with	
6.015,608	anti-gel-block laminate	January 18, 2000
	Electrode manufacturing	
	process and flow-through	
6,022,436	capacitor produced therefrom	February 8, 2000
	Process and apparatus for	
	the production of activated	
6,061,384	carbon	May 9, 2000
	Continuous solid state web	
	coating process and webs	
6,077,588	produced thereby	June 20, 2000
	Continuous solid state web	
	coating process and webs	
6,355,330	produced thereby	March 12, 2002
	Method of stabilizing	
	composite media and media	
6,485,813	produced thereby	November 26, 2002
	Composite filter medium and	
6,550,622	fluid filters containing same	April 22, 2003
6,565,961	Absorbent articles	May 20, 2003
	Apparatus and method for	
	combined use of ultraviolet	
6,607,672	and ozone in appliances	August 19, 2003
0,00.,10.2	Magnetic or magnetizable	
	composite product and a	1
	method for making and using	1
6,610,415	same	August 26, 2003
	Microporous filter media,	
	filtration systems containing	
	same, and methods of	
6,630,016	making and using	October 7, 2003
	Precoat filtration medla and	
6,660,172	methods of making and using	December 9, 2003
6,703,071	Absorbent articles	March 9, 2004
0,, 00,07 1	Desiccant system including	
6.720.054	bottle and desiccant sheet	April 13, 2004
0,120,001	Method of stabilizing	
	composite media and media	
6,719,869	produced thereby	April 13, 2004
0,1 10,000	Filter media with enhanced	. 4.11 10/ 2.00 1
6,770,204	microbiological interception	August 3, 2004
Oji i Ojeor		

Patent Number	Title	Patent Issue Date
	capability	
6,772,535	Humidity generating system and method and use in wood drying kiln	August 10, 2004
	Magnetic or magnetizable composite product and a method for making and using	
6,783,798	same Composite filter medium and	August 31, 2004
6,797,167	fluid filters containing same	September 28, 2004
0.005.014	Microporous filter media, filtration systems containing same, and methods of	December 28, 2004
6,835,311	making and using Air purifying filter systems for	December 28, 2004
	building air supply and respirators useful against	
6,840,986	NBC attacks Microporous filter media with	January 11, 2005
6,866,704	intrinsic safety feature	March 15, 2005
6,872,311	Nanofiber filter media	March 29, 2005
6,889,599	Brewing apparatus and method	May 10, 2005
•.	Microporous filter media, filteration systems containing same, and methods of	
6,913,154	making and using Microporous filter media,	July 5, 2005
	filtration systems containing same, and methods of	
6,953,604	making and using	October 11, 2005
	Microporous filter media, filtration systems containing same, and methods of	
6,959,820	making and using	November 1, 2005
-	Microporous filter media, filtration systems containing same, and methods of	T. 1
6,998,058	making and using	February 14, 2006
7.008.537	Microporous filter media, filtration systems containing same, and methods of making and using	March 7, 2006
7,000,037	Microporous filter media, filtration systems containing same, and methods of	maion 7, 2000
7,011,753	making and using	March 14, 2006

Pending Patent Application Serial		
Number	Title	Filing Date
09/864,976	Rapid absorbent structures	May 24, 2001
	Method of stabilizing	
	composite media and media	
10/238,431	produced thereby	September 9, 2002
	Means to miniaturize	
	diffusion filters for particulate	December 3, 2002
10/308,695	removal	December 3, 2002
	Composite for removing moisture, liquid and odors	1
10/000 074	with anti-microbial capability	December 18, 2002
10/322,271	Microporous filter media,	Describer re, 2002
	filtration systems containing	
	same, and methods of	
10/442,809	making and using	May 20, 2003
10/442,000	Carbon or activated carbon	
10/622,882	nanofibers	July 18, 2003
TOTOLLIOOL	Structures that inhibit	
10/640,889	microbial growth	August 14, 2003
	Integrated paper comprising	
	fibrillated fibers and active	
10/666,878	particles immobilized therein	September 19, 2003
	Pressure limiting and/or flow	1
	regulating valve for use with	
10/762,702	POU/POE filter system	January 21, 2004
10/799,547	Filter housing	March 11, 2004
10/923,058	Fiber-fiber composites	August 19, 2004
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Composite filter medium and	
10/924,066	fluid filters containing same	August 23, 2004
10/966,688	Tangentlal in-line air filter	October 15, 2004
11/070,694	Rapid absorbent structures	March 3, 2005
11/137,170	Twist and lock filter housing	May 25, 2005
	Lofted composite with	
11/189.686	enhanced air permeability	July 26, 2005
	Process for producing	
60/842,195	fibrillated fibers	August 31, 2006
	Process for producing	
60/842,069	nanofibers	August 31, 2006
		Abandoned – KX
	Process for the Production of	Docket No.
09/576,519	Finely Divided Polymers	369.6127US Abandoned - KX
	Hot End Caps for Filter	Abandoned - KX Docket No. 349.6635
60/144,689	Devices	Abandoned - KX
		Docket No.
40470 400	Filtration Device	KT-P-007US
10/176,103	Filtration Device	Abandoned – KX
	Containers for Dispensing	Docket No.
10/115.579	Fittered Liquids	KT-P-004US
10/115,578	ritiereu Liquius	11.1 00400

# **EXPRESS LABEL CERTIFICATE**

I herby certify that the foregoing documents are being deposited with the U.S. Postal Services as Express Mail No. **[EV 428823060 US]** in an envelope addressed to: Mail Stop Assignment Recordation Service, Director of the USPTO, 2900 Crystal Drive, 9<sup>th</sup> Floor, Arlington, VA<sup>\*</sup> 22202 on November 30, 2006.

Orri Emporu